

SECTORA

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/871,004	05/31/2001	Joel W. Hoehn	S01.12-0805/STL 9643

CONFIRMATION NO. 7513

FORMALITIES LETTER



OC000000006365760

Peter S. Dardi, Ph.D.
WESTMAN CHAMPLIN & KELLY
International Centre - Suite 1600
900 South Second Avenue
Minneapolis, MN 55402-3319

Date Mailed: 07/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

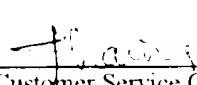
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

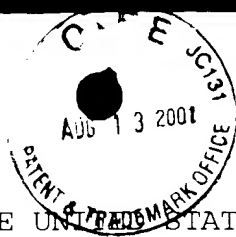
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Joel W. Hoehn et al.

Appln. No.: 09/871,004

Filed : May 31, 2001

For : PROCESS FOR PRODUCTION OF
ULTRATHIN PROTECTIVE
OVERCOATS

Docket No.: S01.12-0805

Batch No:

Allowed:

Group Art Unit: 1762

Examiner:

LETTER TO OFFICIAL DRAFTSPERSON

Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

9 DAY OF August, 2001.

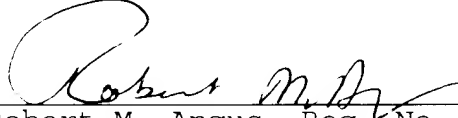
PATENT ATTORNEY

Sir:

Enclosed are **two** (2) sheets of formal drawings for
filing in the above-identified application.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 
Robert M. Angus, Reg. No. 24,383
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

RMA:tas



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Joel W. Hoehn et al.

Appln. No.: 09/871,004

Filed : May 31, 2001

For : PROCESS FOR PRODUCTION OF
ULTRATHIN PROTECTIVE OVERCOATS

Docket No.: S01.12-0805

Group Art Unit:1762

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

9 DAY OF August, 2001.
Robert M. [Signature]
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of
Application - Filing Date Granted dated July 31, 2001, Applicant
encloses the following documents to complete the above-identified
patent application filed May 31, 2001:

1. Our check in the amount of \$840 (to cover the filing
fee \$710 and surcharge fee of \$130).
2. Declaration executed by the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date
Granted.
5. Letter to the Official Draftsperson.
6. Two (2) sheets of formal drawings.
7. Executed Power of Attorney with a copy of executed
Assignment.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

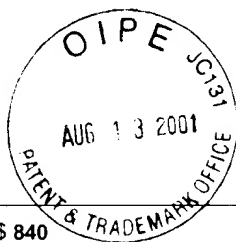
Respectfully submitted,
WESTMAN, CHAMPLIN & KELLY, P.A.

By: _____

Robert M. Angus, Reg. No. 24,383
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

RMA:tas

FEE TRANSMITTAL



Complete if Known

Application No.	09/871,004
Filing Date	May 31, 2001
First Named Inventor	Joel H. Hoehn et al.
Title	PROCESS FOR PRODUCTION OF ULTRATHIN PROTECTIVE OVERCOATS
Group Art Unit	1762
Examiner Name	
Atty. Docket Number	S01.12-0805

Total Amount of Payment \$ 840

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Code	Fee Description
101	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	206	160	<input type="checkbox"/> Design Filing Fee
108	208	355	<input type="checkbox"/> Reissue Filing Fee
114	214	75	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 710

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	20	20	0	18	0
Indep.	1	3	0	80	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee	Small Entity Fee	Code	Fee Description
103	203	9	Claims in excess of 20
102	202	40	Independent claims in excess of 3
104	204	135	Multiple Dependent Claims
109	209	40	Reissue Independent Claims over Original Patent
110	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Code	Fee Description	Fee Paid
105	205	65	Surcharge - Late filing fee or oath	130
127	227	25	Surcharge - Late provisional Filing Fee or cover sheet	
139	139	130	Non-English specification	
147	147	2,520	For Filing a Request for Reexamination	
115	215	55	Extension for reply within first month	
116	216	195	Extension for reply within second month	
117	217	445	Extension for reply within third month	
118	218	695	Extension for reply within fourth month	
128	280	945	Extension for reply within fifth month	
120	220	155	Filing a brief in support of an appeal	
121	221	135	Request for oral hearing	
148	248	55	Terminal Disclaimer Fee	
140	240	55	Petition to Revive - unavoidable	
141	241	620	Petition to Revive - unintentional	
142	242	650	Utility/Reissue issue fee (inc. advance copies)	
143	243	250	Design issue fee (inc. advance copies)	
122	122	130	Petitions to the Commissioner	
123	123	50	Petitions related to provisional applications	
126	126	180	Submission of Information Disclosure Statement	
581	581	40	Recording each patent assignment per property (times number of properties)	

Other Fee (specify) _____

Subtotal (3) \$130

Signature

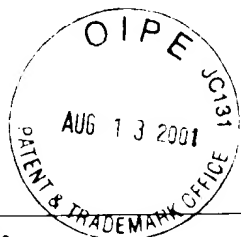
(Robert M. Angus)

Reg. No. 24,383

Date Aug 7, 2001

Deposit Account No. 23-1123

FEE TRANSMITTAL



Complete if Known

Application No.	09/871,004
Filing Date	May 31, 2001
First Named Inventor	Joel H. Hoehn et al.
Title	PROCESS FOR PRODUCTION OF ULTRATHIN PROTECTIVE OVERCOATS
Group Art Unit	1762
Examiner Name	
Atty. Docket Number	S01.12-0805

Total Amount of Payment \$ 840

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123 Westman, Champlin & Kelly, P.A.
2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Code	Fee Description
101	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	206	160	<input type="checkbox"/> Design Filing Fee
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114	214	75	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 710

2. EXTRA CLAIM FEES

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109	209	40	Reissue Independent Claims over Original Patent
110	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
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123	123	50 Petitions related to provisional applications	
126	126	180 Submission of Information Disclosure Statement	
581	581	40 Recording each patent assignment per property (times number of properties)	

Other Fee (specify) _____

Subtotal (3) \$130

Signature Robert M. Angus
(Robert M. Angus)

Reg. No. 24,383

Date Aug. 9, 2001

Deposit Account No. 23-1123